

GRAPH POLYNOMIALS: FROM RECURSIVE DEFINITIONS TO SUBSET EXPANSION FORMULAS

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ABSTRACT. Many graph polynomials, such as the Tutte polynomial, the interlace polynomial and the matching polynomial, have both a recursive definition and a defining subset expansion formula. In this paper we present a general, logic-based framework which gives a precise meaning to recursive definitions of graph polynomials. We then prove that in this framework every recursive definition of a graph polynomial can be converted into a subset expansion formula.

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1. INTRODUCTION

Graph polynomials are functions from the class of graphs \mathcal{G} into some polynomial ring \mathcal{R} which are invariant under graph isomorphisms. In recent years an abundance of graph polynomials have been studied. Among the most prominent examples we have the multivariate Tutte polynomial, [BR99, Sok05], the interlace polynomial, [ABS04a, ABS04b, AvdH04] which is really the Martin polynomial, cf. [EM98, Cou], the matching polynomial and its relatives, [HL72, LP86, GR01], and the cover polynomial for directed graphs [CG95]. Older graph polynomials, treated in monographs such as [Big93, God93, Bol99, GR01, Die05], are the characteristic polynomial, [CDS95], the chromatic polynomial, [DKT05], and the original Tutte polynomial, [Bol99]. A general program for the comparative study of graph polynomials was outlined in [Mak06, Mak07].

Graph polynomials are usually defined either recursively or explicitly by a subset expansion formula. In the case of the polynomial of the Pott's model $Z(G, q, v)$, a bivariate graph polynomial closely related to the Tutte polynomial, both definitions are easily explained.

Let $G = (V, E)$ be a (multi-)graph. Let $A \subseteq E$ be a subset of edges. We denote by $k(A)$ the number of connected components in the spanning subgraph (V, A) . The definition of the Pott's model using a subset expansion formula is given by

$$(1) \quad Z(G, q, v) = \sum_{A \subseteq E} q^{k(A)} v^{|A|}.$$

The general subset expansion formula¹ of a graph polynomial $P(G, \bar{X})$ now takes the form

$$(2) \quad P(G, \bar{X}) = \sum_{\bar{A}: \langle G, \bar{A} \rangle \in \mathcal{C}} X_1^{f_1(G, \bar{A})} \cdot \dots \cdot X_n^{f_n(G, \bar{A})}.$$

where $\bar{A} = (A_1, \dots, A_\ell)$ are relations on $V(G)$ of arity $\rho(i)$, in other words $A_i \subseteq V(G)^{\rho(i)}$, the summation ranges over a family \mathcal{C} of structures of the form $\langle G, A_1, \dots, A_\ell \rangle$, and the exponent $f_i(G, \bar{A})$ of the indeterminate X_i is a function from \mathcal{C} into \mathbb{N} . We refer to the right hand side of (2) as a *subset expansion expression*.

$Z(G, q, v)$ can also be defined recursively. It satisfies the initial conditions $Z(E_1) = q$ and $Z(\emptyset) = 1$, and satisfies a linear recurrence relation

$$(3) \quad \begin{aligned} Z(G, q, v) &= v \cdot Z(G_{/e}, q, v) + Z(G_{-e}, q, v) \\ Z(G_1 \sqcup G_2, q, v) &= Z(G_1, q, v) \cdot Z(G_2, q, v) \end{aligned}$$

\sqcup denotes the disjoint union of two graphs, and for $e \in E$, the graph G_{-e} is obtained from G by deleting the edge e , and $G_{/e}$ is obtained from G by contracting the edge e . To show that $Z(G, q, v)$ is well-defined using the recurrence relation 3, one chooses an ordering of the edges and shows that the resulting polynomial does not depend on the particular choice of the ordering.

In the case of the Tutte polynomial it is a bit more complicated, as the recursion involves case distinction depending on whether the eliminated edge is a bridge, a loop or none of these. These conditions can be formulated as guards.

For most prominent graph polynomials, such as the chromatic polynomial, the Tutte polynomial, the interlace polynomial, and the cover polynomial for directed graphs, there exist both a recursive definition using a linear recurrence relation and a subset expansion formula. In each case the author proposes the two definitions and proves their equivalence.

In this paper we show how to convert a definition using a linear recurrence relation into a subset expansion formula. For this to make sense we define an appropriate framework. A special case of subset expansion formulas is the notion of a graph polynomial definable in Second Order Logic **SOL**, introduced first [Mak04] and further studied in [Mak07,

¹ L. Traldi coined this term in [Tra04] in the context of the colored Tutte polynomial.

KMZ08]. The exact definitions are given in Section 2.1. Roughly speaking, **SOL**-definable graph polynomials arise when in the subset expansion formula the class \mathcal{C} is required to be definable in **SOL**, and similar conditions are imposed on the exponents of the indeterminates.

The recursive definition given above relies on the fact that every graph can be reduced, using edge deletion and edge contraction, to a set of isolated vertices. In a last step the isolated vertices are removed one by one. Using a fixed ordering of the edges and vertices, one can evaluate the recurrence relation. Finally one has to show that this evaluation does not depend on the ordering of the edges, provided that in that ordering the vertices appear after all the edges.

In general, the two operations, edge deletion and contraction, will be replaced by a finite set of **SOL**-definable transductions T_1, \dots, T_ℓ , which decrease the size of the graph, and which depend on a fixed number of vertices or edges, the contexts, rather than just on a single edge. For certain orderings of the vertices and edges, this allows us to define a deconstruction tree of the graph G .

The recursive definition now takes the form

$$(4) \quad P(G) = \sum_{i \in \{1, \dots, \ell\}} \sigma_i \cdot P(T_i[G, \vec{x}])$$

where \vec{x} is the context and σ_i are the coefficients of the recursion. Furthermore, the recurrence relation is *linear* in $P(T_i[G, \vec{x}])$. It can be evaluated using the deconstruction tree. To assure that this defines a unique graph polynomial one has to show that the evaluation is independent of the ordering. The exact definitions are given in Section 4.

Our main result, Theorem 5.1, now states that, indeed, every order invariant definition of a graph polynomial P using a linear recurrence relation can be converted into a definition of P as a **SOL**-definable graph polynomial. It seems that the converse is not true, but we have not been able to prove this.

In Section 7 we discuss a graph polynomial introduced in [NW99], which is provably not a **SOL**-definable graph polynomial. It is defined by a subset expansion formula, where the exponents $f_i(G, \vec{A})$ depend on i , which is not allowed in our definition of **SOL**-definable graph polynomials.

The choice of **SOL** is rather pragmatic. It makes exposition clear and covers all the examples from the literature. The logic **SOL** could be replaced by the weaker Fixed Point Logic **FPL** or by extensions of **SOL**, as they are used in Finite Model Theory, cf. [EF95]. The polynomial introduced in [NW99] would still be an example without recursive definition as long as the exponents $f_i(G, \vec{A})$ are not allowed to depend on i .

The paper is organized as follows. In Section 2 we collect the background material for Second Order Logic. In Section 3 we give a rigorous definition of **SOL**-definable graph polynomials and collect their basic properties. In Section 4 we present our general framework for recursive definitions of graph polynomials, and discuss examples in detail. In Section 5 we state and prove our main theorem. In Section 6 we show two derivations of subset expansion formulas, for the universal edge elimination polynomial and the cover polynomial, using the technique of the proof of Theorem 5.1. These derivations give the subset expansion formulas known in the literature. In Section 7 we discuss a polynomial which is given by a subset expansion formula but has no recursive definition in our sense. Finally, in Section 8 we draw conclusions and discuss further research.

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2. LOGIC AND TRANSLATION SCHEMES

In this section we give a rather detailed definition of **SOL** and the formalism of translation schemes, because the notational technicalities are needed in our further exposition.

A *vocabulary* τ is a finite set of relation symbols, function symbols and constants. It can be many-sorted. In this paper, we shall only deal with vocabularies which do not contain any function symbols. τ -structures are interpretations of vocabularies. Sorts are mapped into non-empty sets - the sort universes. Relation symbols are mapped into relations over the sorts according to their specified arities. Constant symbols are mapped onto elements of the corresponding sort-universes. We denote the set of all τ -structures by $Str(\tau)$. For a τ -structure \mathcal{M} , we denote its universe by $A^{\mathcal{M}}$, or, in short, A , if the τ -structure is clear from the context. For a logic \mathcal{L} , $\mathcal{L}(\tau)$ denotes the set of τ -formulas in \mathcal{L} .

2.1. Second Order Logic (SOL). We denote relation symbols by bold-face letters, and their interpretation by the corresponding roman-face letter.

Definition 2.1 (Variables).

- (i) v_i for each $i \in \mathbb{N}$. These are individual variables (**VAR**₁).
- (ii) $U_{r,i}$ for each $r, i \in \mathbb{N}, r \geq 1$. These are relation variables (**VAR**₂). r is the arity of $U_{r,i}$.

We denote the set of variables by **VAR**.

Given a non-empty finite set A , an A -interpretation is a map

$$I_A : \mathbf{VAR} \rightarrow A \cup \bigcup_r \mathbb{P}(A^r)$$

such that $I_A(v_i) \in A$ and $I_A(U_{r,i}) \subseteq A^r$.

We define term t and formula ϕ inductively, and associate with them a set of first and second-order free variables denoted by $free(t)$, $free(\phi)$ respectively.

Definition 2.2 (τ -term). A τ -term is of the form v or c where v is a variable and c is some constant in τ . $free(v) = \{v\}$, $free(c) = \emptyset$.

Definition 2.3 (Atomic formulas).

Atomic formulas are of the form

- (i) $(t_1 \simeq t_2)$ where t_1, t_2 are τ -terms, and $free(t_1 \simeq t_2) = free(t_1) \cup free(t_2)$.
- (ii) ϕ of the form $U_{r,j}(t_1, t_2, \dots, t_r)$ where $U_{r,j}$ is a relation variable, and t_1, t_2, \dots, t_r are τ -terms, and $free(\phi) = \{U_{r,j}\} \cup \bigcup_{i=1}^r free(t_i)$.
- (iii) ϕ of the form $R(t_1, t_2, \dots, t_r)$ where $R \in \tau$ is a relation, and t_1, t_2, \dots, t_r are τ -terms, and $free(\phi) = \bigcup_{i=1}^r free(t_i)$.

We now define inductively the set of *SOL*-formulas **SOL**.

Definition 2.4 (SOL formulas).

- (i) Atomic formulas ϕ are in **SOL** with $free(\phi)$ as defined before.
- (ii) If ϕ_1 and ϕ_2 are in **SOL** then ϕ of the form $(\phi_1 \vee \phi_2)$, $(\phi_1 \wedge \phi_2)$ or $(\phi_1 \rightarrow \phi_2)$ is in **SOL** with $free(\phi) = free(\phi_1) \cup free(\phi_2)$.
- (iii) If ϕ_1 is in **SOL** then $\phi = \neg \phi_1$ is in **SOL** with $free(\phi) = free(\phi_1)$.
- (iv) If ϕ_1 is in **SOL** then ϕ of the form $\exists v_j \phi$, $\forall v_j \phi$, is in **SOL** with $free(\phi) = free(\phi_1) - \{v_j\}$.
- (v) If ϕ_1 is in **SOL** then ϕ of the form $\exists U_{r,j} \phi$ or $\forall U_{r,j} \phi$ is in **SOL** with $free(\phi) = free(\phi_1) - \{U_{r,j}\}$.

2.2. Translation schemes and deconstruction schemes.

Definition 2.5 (Translation scheme Φ). *Let $\tau = \{Q_1, \dots, Q_k\}$ and $\sigma = \{R_1, \dots, R_m\}$ be two vocabularies and $\rho(R_i)$ ($\rho(Q_i)$) be the arity of R_i (Q_i). Let \mathcal{L} be a fragment of SOL, such as FOL, MSOL, \exists MSOL, FPL (Fixed Point Logic), etc.*

A tuple of $\mathcal{L}(\tau)$ formulae $\Phi = \langle \phi, \psi_1, \dots, \psi_m \rangle$ such that ϕ has exactly one free first order variable and each ψ_i has $\rho(R_i)$ distinct free first order variables is a τ - σ -translation scheme.

In this paper we use only translation schemes in which ϕ has exactly one free variable. Such translation schemes are called *non-vectorized*.

In our case $\{x : \phi(x)\} \subset A$ holds. Such translation schemes are called *relativized*.

We now define the *transduction* which is the semantic map associated with Φ .

Definition 2.6 (The induced transduction Φ^*). *Given a τ - σ -translation scheme Φ , the function $\Phi^* : \text{Str}(\tau) \rightarrow \text{Str}(\sigma)$ is a (partial) function from τ -structures to σ -structures. $\Phi^*[\mathcal{M}]$ is defined by:*

- (i) *the universe of $\Phi^*[\mathcal{M}]$ is the set*

$$A^{\Phi^*[\mathcal{M}]} = \{a \in A : \mathcal{M} \models \phi(a)\}$$

- (ii) *the interpretation of R_i in $\Phi^*[\mathcal{M}]$ is the set*

$$R_i^{\Phi^*[\mathcal{M}]} = \{\bar{a} \in (A^{\Phi^*[\mathcal{M}]})^{\rho(R_i)} : \mathcal{M} \models \psi_i(\bar{a})\}.$$

Next we define the syntactic map associated with Φ , the translation.

Definition 2.7 (The induced translation Φ^\sharp). *Given a τ - σ -translation scheme Φ we define a function $\Phi^\sharp : \mathcal{L}(\sigma) \rightarrow \mathcal{L}(\tau)$ from $\mathcal{L}(\sigma)$ -formulae to $\mathcal{L}(\tau)$ -formulae inductively as follows:*

- (i) *For $R_i \in \sigma$ with $\rho(R_i) = m$ and $\theta = R_i(x_1, \dots, x_m)$, we put*

$$\Phi^\sharp(\theta) = \left(\psi_i(x_1, \dots, x_m) \wedge \bigwedge_{j=1}^m \phi(x_j) \right)$$

- (ii) *This also works for equality and relation variables U instead of relation symbols R .*

- (iii) *For the boolean connectives, the translation distributes, i.e.*

$$\text{(iii.a) if } \theta = (\theta_1 \vee \theta_2) \text{ then } \Phi^\sharp(\theta) = (\Phi^\sharp(\theta_1) \vee \Phi^\sharp(\theta_2))$$

$$\text{(iii.b) if } \theta = \neg \theta_1 \text{ then } \Phi^\sharp(\theta) = \Phi^\sharp(\neg \theta_1)$$

$$\text{(iii.c) similarly for } \wedge \text{ and } \rightarrow.$$

- (iv) *For the existential quantifier, we use relativization to ϕ :*

$$\text{If } \theta = \exists y \theta_1, \text{ we put}$$

$$\Phi^\sharp(\theta) = \exists y (\phi(y) \wedge \Phi^\sharp(\theta_1)(y)).$$

- (v) *For the universal quantifier, we also use relativization to ϕ :*

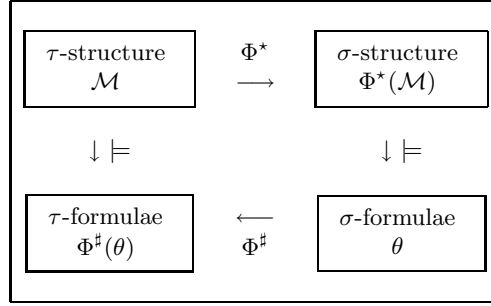
$$\text{If } \theta = \forall y \theta_1, \text{ we put}$$

$$\Phi^\sharp(\theta) = \forall y (\phi(y) \rightarrow \Phi^\sharp(\theta_1)(y)).$$

This concludes the inductive definition for first order logic FOL.

- (vi) *For second order quantification of variables V of arity ℓ and a vector \bar{a} of length ℓ of first order variables or constants, we translate $\theta = \exists V(\theta_1(V))$ by treating V as a relation symbol above A and put*

$$\Phi^\sharp(\theta) = \exists V \left(\forall \bar{v} \left[V(\bar{v}) \rightarrow \left(\bigwedge_{i=1}^{\ell} \phi(v_i) \right) \wedge \Phi^\sharp(\theta_1)(V) \right] \right)$$

FIGURE 1. A diagram of translation scheme Φ

(vii) For $\theta = \forall V(\theta_1(V))$, $\rho(V) = \ell$ the relativization yields:

$$\Phi^\#(\theta) = \forall V \left(\left[\forall \bar{v}(V(\bar{v}) \rightarrow \bigwedge_{i=1}^{\ell} \phi(v_i)) \right] \rightarrow \Phi^\#(\theta_1)(V) \right)$$

Next we present the well known fundamental property of translation schemes [Mak04].

Theorem 2.8 (Fundamental Property).

Let $\Phi = \langle \phi, \psi_1, \dots, \psi_m \rangle$ be a $(\tau - \sigma)$ -translation scheme in a logic \mathcal{L} . Then the transduction Φ^* and the translation $\Phi^\#$ are linked in \mathcal{L} . In other words, given \mathcal{M} be a τ -structure and θ be a $\mathcal{L}(\sigma)$ -formula then

$$\mathcal{M} \models \Phi^\#(\theta) \Leftrightarrow \Phi^*(\mathcal{M}) \models \theta$$

The property is illustrated in Figure 1.

Proposition 2.9. [Mak04] Let Φ be a $\tau - \sigma$ -translation scheme which is either in SOL or in MSOL.

- (i) If Φ is in MSOL and non-vectorized, and θ is in MSOL then $\Phi^\#(\theta)$ is in MSOL
- (ii) If Φ is of quantifier rank q and has p parameters, and θ is a σ -formula of quantifier rank r , then the quantifier rank of $\Phi^\#(\theta)$ is bounded by $r + q + p$.

3. SOL-POLYNOMIALS

SOL-polynomial expressions are expressions the interpretation of which are graph polynomials. We define **SOL**-polynomial expressions inductively.

3.1. SOL-polynomial expressions. Let the domain \mathcal{R} be a commutative semi-ring, which contains the semi-ring of the integers \mathbb{N} . For our discussion it is sufficient for \mathcal{R} to be \mathbb{N} , \mathbb{Z} or polynomials over these, but the definitions generalize. Our polynomials have a fixed set of indeterminates \mathcal{I} . We denote the indeterminates by capital letters X, Y, \dots . We distinguish them from the variables of **SOL** which we denote by lowercase letters v, u, e, x, \dots .

Definition 3.1 (**SOL**-monomial expressions). We first define the **SOL**-monomial expressions inductively.

- (i) $a \in \mathcal{R}$ is a **SOL**-monomial expression, and $\text{free}(a) = \emptyset$.
- (ii) Given a logical formula φ , $\text{tv}(\varphi)$ is a **SOL**-monomial expression. $\text{tv}(\varphi)$ stands for the truth value of the formula φ .

- (iii) For a finite product $M = \prod_{i=1}^r t_i$ of monomial expressions t_i , M is a **SOL**-monomial expression, and $\text{free}(M) = \bigcup_{i=1}^r \text{free}(t_i)$.
- (iv) Let $\phi(\bar{a}, \bar{b}, \bar{U})$ be a $\tau \cup \{\bar{a}, \bar{b}, \bar{U}\}$ -formula in **SOL**, where $\bar{a} = (a_1, \dots, a_m)$ is a finite sequence of constant symbols not in τ , \bar{b} is a sequence of free individual variables, and \bar{U} is a sequence of free relation variables. Let $t(\bar{a}, \bar{b}, \bar{U})$ be a **SOL**-monomial expression. Then

$$M(\bar{b}, \bar{U}) = \prod_{\bar{a}: \phi(\bar{a}, \bar{b}, \bar{U})} t(\bar{a}, \bar{b}, \bar{U})$$

is a **SOL**-monomial expression and $\text{free}(M) = \text{free}(t) \cup \text{free}(\phi) \setminus \{\bar{a}\}$. Thus, \prod is a binding operator which binds \bar{a} .

Definition 3.2 (**SOL**-polynomial expressions). The **SOL**-polynomial expressions are defined inductively:

- (i) **SOL**-monomial expressions are **SOL**-polynomial expressions.
- (ii) For a finite sum $S = \sum_{i=1}^r t_i$ of **SOL**-polynomial expressions t_i , S is a **SOL**-polynomial expression, and $\text{free}(S) = \bigcup_{i=1}^r \text{free}(t_i)$.
- (iii) Let $\phi(\bar{a}, \bar{b}, \bar{U})$ be a $\tau \cup \{\bar{a}, \bar{b}, \bar{U}\}$ -formula in **SOL** where $\bar{a} = (a_1, \dots, a_m)$ is a finite sequence of constant symbols not in τ , \bar{b} is a sequence of free individual variables, and \bar{U} is a sequence of free relation variables. Let $t(\bar{a}, \bar{b}, \bar{U})$ be a **SOL**-polynomial expression. Then

$$S(\bar{b}, \bar{U}) = \sum_{\bar{a}: \phi(\bar{a}, \bar{b}, \bar{U})} t(\bar{a}, \bar{b}, \bar{U})$$

is a **SOL**-polynomial expression and $\text{free}(P) = \text{free}(t) \cup \text{free}(\phi) \setminus \{\bar{a}\}$. Thus, \sum is a binding operator which binds \bar{a} .

- (iv) Let $\phi(\bar{W}, \bar{b}, \bar{U})$ be a $\tau \cup \{\bar{W}, \bar{b}, \bar{U}\}$ -formula in **SOL** where $\bar{W} = (W_1, \dots, W_m)$ is a finite sequence of relation symbols not in τ , \bar{b} is a sequence of free individual variables, and \bar{U} is a sequence of free relation variables. Let $t(\bar{W}, \bar{b}, \bar{U})$ be a **SOL**-polynomial expression. Then

$$S(\bar{b}, \bar{U}) = \sum_{\bar{W}: \phi(\bar{W}, \bar{b}, \bar{U})} t(\bar{W}, \bar{b}, \bar{U})$$

is a **SOL**-polynomial expression and $\text{free}(P) = \text{free}(t) \cup \text{free}(\phi) \setminus \{\bar{W}\}$. Again, \sum is a binding operator which binds \bar{W} .

Note that our definition of **SOL**-polynomial expressions is the normal form definition as it appears for example in [KMZ08]. We use only the normal form in this paper.

From our definitions the following is obvious.

Proposition 3.3. Every **SOL**-polynomial expression is also a subset expansion expression, where \mathcal{C} is **SOL**-definable.

3.2. Interpretations of **SOL**-polynomial expressions.

Let G be a graph and z be an assignment of variables to elements of the graph. The interpretation $e(S, G, z)$ of a **SOL**-polynomial expression S will be an element in the polynomial ring \mathcal{R} . We shall associate with each **SOL**-polynomial expression S a graph polynomial S^* defined by $S^*(G) = e(S, G, z)$. We shall say that $P(G, \bar{X})$ is a **SOL**-polynomial if there is a **SOL**-polynomial expression S such that for all graphs G we have $P(G, \bar{X}) = S^*(G)$.

We now proceed with the precise definitions.

Definition 3.4 (Variable assignment).

- (i) Given a τ -structure \mathcal{M} with domain $A^{\mathcal{M}}$, an assignment z is an $A^{\mathcal{M}}$ -interpretation of **VAR**.
- (ii) We denote the set of all assignments above by $\text{Ass}(\mathcal{M})$.
- (iii) Let z_1 and z_2 be two assignments in $\text{Ass}(\mathcal{M})$. Let $v \in \mathbf{VAR}$ be a variable. We write $z_1 =_v z_2$ if for every variable $u \neq v$ we have that $z_1(u) = z_2(u)$.

Our notation naturally extends to vectors of variables.

Definition 3.5 (Interpretation of **SOL**-monomial expressions). Given a τ -structure \mathcal{M} and an assignment $z \in \text{Ass}(\mathcal{M})$, the interpretation $e(S, \mathcal{M}, z)$ of a **SOL**-monomial expression S is defined as follows:

- (i) If $S = a \in \mathcal{R}$, $e(S, \mathcal{M}, z) = a$.

- (ii) Given a logical formula φ ,

$$e(\text{tv}(\varphi), \mathcal{M}, z) = \begin{cases} 1^{\mathcal{R}} & \text{if } \mathcal{M}, z \models \varphi \\ 0^{\mathcal{R}} & \text{otherwise} \end{cases}$$

- (iii) For a finite product $S = \prod_{i=1}^r t_i$ of monomials t_i ,

$$e(S, \mathcal{M}, z) = \prod_{i=1}^r e(t_i, \mathcal{M}, z).$$

- (iv) If $S(\bar{b}, \bar{U}) = \prod_{\bar{a}: \phi(\bar{a}, \bar{b}, \bar{U})} t(\bar{a}, \bar{b}, \bar{U})$ then

$$e(S(\bar{b}, \bar{U}), \mathcal{M}, z) = \prod_{\substack{z_1 \text{ s.t. } z_1 =_{\bar{a}} z \text{ and} \\ \mathcal{M}, z_1 \models \phi(\bar{a}, \bar{b}, \bar{U})}} e(t(\bar{a}, \bar{b}, \bar{U}), \mathcal{M}, z_1).$$

We call the expression S a *short product* as the number of elements in the product is polynomial in the size of the universe of \mathcal{M} .

The degree of the polynomial $e(S, \mathcal{M}, z)$, is polynomially bounded by the size of \mathcal{M} .

Definition 3.6 (Interpretation of **SOL**-polynomial expressions). Given a τ -structure \mathcal{M} and an assignment $z \in \text{Ass}(\mathcal{M})$, the meaning function $e(S, \mathcal{M}, z)$ of a **SOL**-polynomial expression S is defined as follows:

- (i) For a finite sum $S = \sum_{i=1}^r t_i$ of **SOL**-polynomial expressions t_i ,
 $e(S, \mathcal{M}, z) = \sum_{i=1}^r e(t_i, \mathcal{M}, z)$.

- (ii) If $S(\bar{b}, \bar{U}) = \sum_{\bar{a}: \phi(\bar{a}, \bar{b}, \bar{U})} t(\bar{a}, \bar{b}, \bar{U})$ then

$$e(S(\bar{b}, \bar{U}), \mathcal{M}, z) = \sum_{\substack{z_1 \text{ s.t. } z_1 =_{\bar{a}} z \text{ and} \\ \mathcal{M}, z_1 \models \phi(\bar{a}, \bar{b}, \bar{U})}} e(t(\bar{a}, \bar{b}, \bar{U}), \mathcal{M}, z_1).$$

We call the expression S a *short sum* as the number of summands in the sum is polynomially bounded in the size of the universe of \mathcal{M} .

- (iii) If $S(\bar{b}, \bar{U}) = \sum_{\bar{W}: \phi(\bar{W}, \bar{b}, \bar{U})} t(\bar{W}, \bar{b}, \bar{U})$ then

$$e(S(\bar{b}, \bar{U}), \mathcal{M}, z) = \sum_{\substack{z_1 \text{ s.t. } z_1 =_{\bar{W}} z \text{ and} \\ \mathcal{M}, z_1 \models \phi(\bar{W}, \bar{b}, \bar{U})}} e(t(\bar{W}, \bar{b}, \bar{U}), \mathcal{M}, z_1).$$

We call such a sum S a *long sum* as the number of addends in the sum can be exponential in the size of the universe of \mathcal{M} .

- (iv) A **SOL**-polynomial expression S is short if it does not contain any long sums as subexpressions.

With these definition we have

Proposition 3.7. *Let S be an **SOL**-polynomial expression. Let S^* be defined by $S^*(G) = e(S, G, z)$. Then there is a graph polynomial $P(G, \bar{X})$ such that for all graphs G we have $P(G, \bar{X}) = S^*(G)$.*

We say that $P(G, \bar{X})$ is a **SOL**-polynomial if there is a **SOL**-polynomial expression S such that $P(G, \bar{X}) = S^*(G)$.

3.3. Examples.

In the following section we represent graphs using one of the following two vocabularies: $\tau_{\text{graph}(1)} = \{E\}$ and $\tau_{\text{graph}(2)} = \{N\}$. For vocabulary $\tau_{\text{graph}(1)}$, the universe of the graph is the set of its vertices, $A = V$, and $R = E \subseteq V^2$ is the relation that represents the edges. For $\tau_{\text{graph}(2)}$, the universe consists of both vertices and edges, $A = V \cup E$, and $R = N \subseteq V \times E$ relates vertices to adjacent edges.

Below are some formulas we need for many of the examples below. All the formulas are in **SOL**($\tau_{\text{graph}(1)}$) or **SOL**($\tau_{\text{graph}(2)}$) logic. We denote by x, y, s, t, u, v, z the **VAR**₁ variables, by A, B, F, S, U, W the **VAR**₂ variables and by X, Y, Z the indeterminants in \mathcal{I} . For any formula f :

$$\exists^k x(f(x)) = \exists x_1 \cdots \exists x_k \left(\bigwedge_{i \neq j} x_i \neq x_j \wedge \bigwedge_{i=1}^k f(x_i) \wedge \forall y \left(\left(\bigwedge_{i=1}^k y \neq x_i \rightarrow \neg f(y) \right) \right) \right).$$

For $D \subseteq A(G)$ and $S \subseteq E(G)$, $\text{Touching}(D, S)$ expresses the set of vertices or edges in D which are adjacent to at least one edge from S , $\text{Cycle}(S)$ is valid iff S forms a cycle in G , and $\text{Connected}_S(u, v)$ expresses that u is connected to v through the edges in S . These formulas take different form over vocabularies $\tau_{\text{graph}(1)}$ and $\tau_{\text{graph}(2)}$. Over the vocabulary $\tau_{\text{graph}(1)}$ S is a symmetric relation, and then:

$$\begin{aligned} \text{Touching}(D, S) &= \{v : v \in D \wedge \exists u(S(v, u))\} \\ \text{Cycle}(S) &= \forall u, v \in \text{Touching}(V, S) [\exists^2 y(S(u, y)) \wedge \text{Connected}_S(u, v)] \\ \text{Connected}_S(s, t) &= (s = t) \vee \exists U[U(s) \wedge U(t) \wedge \forall x[U(x) \rightarrow \exists y(y \neq x \wedge S(x, y))] \wedge \\ &\quad \neg \exists W[W(s) \wedge \neg W(t) \wedge \forall x[(W(x) \rightarrow \\ &\quad (U(x) \wedge \forall y((S(x, y) \wedge U(y)) \rightarrow W(y)))]]. \end{aligned}$$

This formula expresses the fact that there is no subset $W \subsetneq U$ which contains s , does not contain t , and such that for each vertex $x \in W$ all the neighbors of x in U are also on W i.e., W is a S -closed subset of U which separates s from t .

For the cases we use $\tau_{\text{graph}(2)}$ ($A^G = V \cup E$), we define shorthand formulas to identify an element of the universe to be an edge or a vertex respectively: $P_E(x) = \exists y(R(y, x))$, $P_V(x) = x \in A \wedge \neg P_E(x)$,

Over the vocabulary $\tau_{\text{graph}(2)}$ S is a subset $S \subseteq \{x : P_E(x)\}$, and then:

$$\begin{aligned} \text{Touching}(D, S) &= \{x : x \in D \wedge \exists e[S(e) \wedge (N(x, e) \vee \exists u(N(u, e) \wedge N(u, x)))]\} \\ \text{Cycle}(S) &= \forall u, v \in \text{Touching}(V, S) [\exists^2 e(S(e) \wedge N(u, e)) \wedge \text{Connected}_S(u, v)] \\ \text{Connected}_S(s, t) &= (s = t) \vee \exists U[\forall e(U(e) \rightarrow S(e)) \wedge \\ &\quad \forall v[((v = s \vee v = t) \rightarrow (U(s) \vee \exists^1 e(U(e) \wedge N(v, e)))) \wedge \\ &\quad ((P_V(v) \wedge v \neq s \wedge v \neq t) \rightarrow \\ &\quad (\neg \exists e(U(e) \wedge N(v, e)) \vee \exists^2 e(U(e) \wedge N(v, e)))]]. \end{aligned}$$

This formula expresses the fact that there is a subset $U \subseteq S$ which contains a direct path from s to t .

We also define $LastInComp(D, S)$ to be the set of elements in D each of which is the last one by a given order O in its component defined by the edges in S . Formally:

$$(5) \quad LastInComp(D, S) \doteq \forall x \in D \forall y [(Connected_S(x, y) \wedge x \neq y) \rightarrow x \succ_O y].$$

Example 3.8 (Matching polynomial). *There are different versions of the matching polynomial discussed in the literature (cf. [HL72, LP86, GR01]), for example matching generating polynomial $g(G, \lambda) = \sum_{i=0}^n a_i \lambda^i$ and matching defect polynomial $\mu(G, \lambda) = \sum_{i=0}^n (-1)^i a_i \lambda^{n-2i}$, where $n = |V|$ and a_i is the number of i -matchings in G . We shall use the bivariate version that incorporates the both above:*

$$(6) \quad M(G, X, Y) = \sum_{i=0}^n a_i X^{n-2i} Y^i$$

Note that using the formulas defined above, if F is a matching in G then $i = |F|$ and $n - 2i = |V \setminus Touching(V, F)|$. This formula expressed as a $\mathbf{SOL}(\tau_{\text{graph}(2)})$ -polynomial expression is:

$$(7) \quad M(G, X, Y) = \sum_{F: \text{Matching}(F)} \left[\prod_{v: P_V(v) \wedge \neg(v \in Touching(V, F))} X \right] \cdot \left[\prod_{e: e \in F} Y \right]$$

where

$$\text{Matching}(F) = \forall e_1, e_2 \in F [P_E(e_1) \wedge (e_1 \neq e_2) \rightarrow \neg \exists v (N(v, e_1) \wedge N(v, e_2))].$$

Example 3.9 (Tutte polynomial). *The classical two-variable Tutte polynomial satisfies a subset expansion formula using spanning forests (cf. for example B.Bollobás [Bol99]). Given a graph $G = \langle V \sqcup E, R \rangle$, O an ordering of E , and $F \subseteq E$ a spanning forest of G , i.e., each component of (V, F) is a spanning tree of a component of G . An edge $e \in F$ is internally active (for F, O) if it is the first edge in the set $Cut_F(e) = \{e' \in E : F - \{e\} \cup \{e'\} \text{ is a spanning forest}\}$. An edge $e \in E - F$ is externally active (for F, O) if it is the first edge in the unique cycle $Cycle_F(e)$ of $F \cup \{e\}$.*

For graphs G with edge ordering O the Tutte polynomial satisfies

$$(8) \quad T(G, X, Y) = \sum_F X^i Y^j$$

where the sum is over all spanning forests of G and i (j) is the number of internally (externally) active edges of F with respect to O . Furthermore, this is independent of the ordering O .

Let $F \subset E(V)$ be a spanning forest of G , i.e. F contains no cycles and any connected component by $E(G)$ is also connected by F :

$$\text{SpanningForest}_G(F) = \neg \exists U [U \subseteq F \wedge Cycle(U)] \wedge \forall v, u [Connected_E(v, u) \leftrightarrow Connected_F(v, u)]$$

The cycle of $e \notin F$ is a set of edges $Z_F(e)$ such that:

$$e \in Z_F(e) \wedge (Z_F(e) \subseteq F \cup \{e\}) \wedge Cycle(Z_F(e)).$$

The cut defined by $e \in F$ is a set of edges $U_F(e)$ such that:

$$U_F(e) = \{e' : \text{SpanningForest}_G((F \setminus \{e\}) \cup \{e'\})\}$$

Then, formula 8 expressed as a $\mathbf{SOL}(\tau_{\text{graph}(2)})$ -polynomial expression is:

$$(9) \quad T(G, X, Y) = \sum_{F: \text{SpanningForest}_G(F)} \left[\prod_{e: \forall e' ((e' \in U_F(e) \wedge e \neq e') \rightarrow e \prec_O e')} X \right] \cdot \left[\prod_{e: \forall e' ((e' \in Z_F(e) \wedge e \neq e') \rightarrow e \prec_O e')} Y \right]$$

Example 3.10 (The polynomial of the Pott's model). *This is a version of the Tutte polynomial used by A.Sokal [Sok05], known as the (bivariate) partition function of the Pott's model:*

$$(10) \quad Z(G, q, v) = \sum_{A \subseteq E} q^{k(A)} v^{|A|}.$$

Note that $k(A) = |\text{LastInComp}(V, A)|$. Formula 10 expressed as a $\mathbf{SOL}(\tau_{\text{graph}(2)})$ -polynomial expression is:

$$(11) \quad Z(G, q, v) = \sum_{A: A \subseteq E} \left[\prod_{v: v \in \text{LastInComp}(V, A)} q \right] \cdot \left[\prod_{e: e \in A} v \right].$$

3.4. Properties of SOL-definable polynomials. The following is taken from [KMZ08].

Proposition 3.11.

- (i) If we write an **SOL**-definable polynomial as a sum of monomials, then the coefficients of the monomials are in \mathbb{N} .
- (ii) Let M be an **SOL**-definable monomial viewed as a polynomial. Then M is a product of a finite number s of terms of the form $\prod_{\bar{a}: \langle \mathcal{M}, \bar{a} \rangle \models \phi_i} t_i$, where $i \in [s]$, $t_i \in \mathbb{N} \cup \mathcal{I}$ and $\phi_i \in \mathbf{SOL}$.
- (iii) The product of two **SOL**(τ)-definable polynomials is again a **SOL**(τ)-definable polynomial.
- (iv) The sum of two **SOL**(τ)-definable polynomials is again a **SOL**(τ)-definable polynomial.
- (v) Let $\Phi(\mathcal{A}, \bar{X})$ be a **SOL**-definable monomial and $P: \text{Str}(\tau) \rightarrow \mathbb{N}[\bar{X}]$ be of form

$$P(\mathcal{M}, \bar{X}) = \sum_{\bar{R}: \langle \mathcal{M}, \bar{R} \rangle \models \chi_R} \prod_{\bar{b}: \langle \mathcal{M}, \bar{R}, \bar{b} \rangle \models \psi} \sum_{\bar{a}: \langle \mathcal{M}, \bar{R}, \bar{a}, \bar{b} \rangle \models \phi} \Phi(\langle \mathcal{M}, \bar{R}, \bar{a}, \bar{b} \rangle, \bar{X}).$$

Then $P(\mathcal{M}, \bar{X})$ is a **SOL**-definable polynomial.

3.5. Combinatorial polynomials. In the examples we need the fact that some combinatorial polynomials are indeed **SOL**-definable polynomials. The question which combinatorial function can be written as **SOL**-definable polynomials is beyond the scope of this paper, and is the topic of T. Kotek's thesis [Kot10].

The following are all **SOL**-definable polynomials. We denote by $\text{card}_{\mathcal{M}, \bar{v}}(\varphi(\bar{v}))$ the number of \bar{v} 's in \mathcal{M} that satisfy φ .

Cardinality, I:: The cardinality of a definable set $\text{card}_{\mathcal{M}, \bar{v}}(\varphi(\bar{v})) = \sum_{\bar{v}: \varphi(\bar{v})} 1$ is an evaluation of a **SOL**-definable polynomial.

Cardinality, II:: The cardinality as the exponent in a monomial $X^{\text{card}_{\mathcal{M}, \bar{v}}(\varphi(\bar{v}))} = \prod_{\bar{v}: \varphi(\bar{v})} X$ is an **SOL**-definable polynomial.

Factorials:: The factorial of the cardinality of a definable set is an instance of a **SOL**-definable polynomial:

$$\text{card}_{\mathcal{M}, \bar{v}}(\varphi(\bar{v}))! = \sum_{\pi: \text{Func1to1}(\pi, \{\bar{v}: \varphi(\bar{v})\}, \{\bar{v}: \varphi(\bar{v})\})} 1,$$

where $\text{Func1to1}(\pi, A, B)$ says that π is a one-to-one function from relation A to relation B :

$$\begin{aligned} \text{Func1to1}(\pi, A, B) = & \forall \bar{v} \forall \bar{u} [\pi(\bar{v}, \bar{u}) \rightarrow [\bar{v} \in A \wedge \bar{u} \in B \wedge \\ & \neg \exists \bar{w} ((\bar{w} \neq \bar{v} \wedge \pi(\bar{w}, \bar{u})) \vee (\bar{w} \neq \bar{u} \wedge \pi(\bar{v}, \bar{w})))]]. \end{aligned}$$

Falling factorial:: The falling factorial

$$(X)_{\text{card}_{\mathcal{M}, \bar{v}}(\varphi(\bar{v}))} = X \cdot (X - 1) \cdot \dots \cdot (X - \text{card}_{\mathcal{M}, \bar{v}}(\varphi(\bar{v})))$$

is not an **SOL**-definable polynomial, because it contains negative terms, which contradicts Proposition 3.11. However, if the underlying structure has a linear order, then it is an evaluation of an **SOL**-definable polynomial. We write

$$(X)_{\text{card}_{\mathcal{M}, \bar{v}}(\varphi(\bar{v}))} = \prod_{\bar{a}: \varphi} X - \text{card}_{\mathcal{M}, \bar{v}}(\varphi_{<\bar{a}}(\bar{v}))$$

where $\varphi_{<\bar{a}}$ is the formula $(\varphi(\bar{v}) \wedge \bar{v} < \bar{a})$ and $\bar{v} < \bar{a}$ is shorthand for the lexicographical order of tuples of vertices.

4. DECONSTRUCTION OF A SIGNED GRAPH AND ITS VALUATION

In the following section we use the notation $\tau_{\text{graph}(1)}$ and $\tau_{\text{graph}(2)}$ for graph vocabularies as defined in Subsection 3.3. The definitions below are applicable for either vocabulary.

4.1. Deconstruction trees. Let $\tau \in \{\tau_{\text{graph}(1)}, \tau_{\text{graph}(2)}\}$.

Definition 4.1 (Context). *Given a graph G and $\vec{x} \in A^m$, $m \in \mathbb{N}$, a vector of elements of G , we call \vec{x} an m -context. Given a vocabulary τ we denote by τ_m the vocabulary τ augmented by m constant symbols interpreted by the m -context \vec{x} . We denote by \mathcal{G}_m the collection of graphs $\langle G, \vec{x} \rangle$ with an m -context.*

We now equip the graph $\langle G, \vec{x} \rangle$ with a linear ordering of its m -tuples.

Definition 4.2 (Context ordering VALORD $_m$). *Let $\tau_m^o = \tau \cup \{a_1, \dots, a_m, O\}$ where the a_i 's are constants symbols and O is a $2m$ -ary relation symbol. Let $\phi_{\text{ord}} \in \mathbf{SOL}(\tau_m^o)$. The class VALORD $_m$ consists of τ_m -structures such that*

- (i) $\langle G, \vec{x}, O \rangle \in \text{VALORD}$ iff $\langle G, \vec{x}, O \rangle \models \phi_{\text{ord}}$.
- (ii) The interpretation of O is a linear ordering of the m -tuples of G .
- (iii) For every $\langle G, \vec{x} \rangle$ there is an $O \subset A^{2m}$ with $\langle G, \vec{x}, O \rangle \models \phi_{\text{ord}}$.
- (iv) \vec{x} is the first element in the ordering O of $\langle G, \vec{x}, O \rangle$

We denote by \bar{G} structures of the form $\langle G, \vec{x}, O \rangle$, by $A(\bar{G})$ the universe of \bar{G} , by $R(\bar{G})$ the graph relation of \bar{G} , and by $c(\bar{G})$ the context of \bar{G} , and by $O(\bar{G})$ the context ordering of \bar{G} .

Definition 4.3 (**SOL**-Deconstruction Scheme). *Let Φ be a $\tau_m^o - \tau_m^o$ -translation scheme. Φ is a **SOL**-deconstruction scheme along VALORD, if*

- (i) $A^{\Phi^*[\bar{G}]} \subsetneq A$;
- (ii) at least one element x_i of \vec{x} is deleted, i.e., $x_i \notin A^{\Phi^*[\bar{G}]}$;
- (iii) $O^{\Phi^*[\bar{G}]} = O|_{A^{\Phi^*[\bar{G}]}$;
- (iv) If $\bar{G} \in \text{VALORD}$ then $\Phi^*[\bar{G}] \in \text{VALORD}$;

In this case we call Φ^* a **SOL**-deconstruction along VALORD, or simply a deconstruction, if VALORD is clear from the context.

Definition 4.4 (Guarded **SOL**-Deconstruction Scheme). *A guarded **SOL**-deconstruction is a pair (T, φ) , such that T is a **SOL**-deconstruction scheme and φ is a **SOL**(τ_m^o)-formula, and such that $\Phi^*(\bar{G})$ is a non-empty structure for each \bar{G} which satisfies φ .*

Remark 4.5.

- (i) Note that the formulas in Φ and the formula φ may have up to m additional free individual variables for the m -context.
- (ii) We say that the guarded **SOL**-deconstruction (T, φ) is enabled on a graph \bar{G} if $\bar{G} \models \varphi$.
- (iii) One could have incorporated the guard in the definition of Φ , but this is not suitable here, because we want to refer to the guard φ explicitly.

A **SOL-deconstruction tree** for a graph G with an m -context \vec{x} and for a set of guarded deconstructions $\{(T_1, \varphi_1), \dots, (T_\ell, \varphi_\ell)\}$ is a tree each internal node of which is labeled by a graph with an m -context. The arc from a node labeled with $\langle G_1, \vec{x}_1 \rangle$ to its child labeled with $\langle G_2, \vec{x}_2 \rangle$ respectively, is labeled with a guarded deconstruction (T_i, φ_i) such that $\langle G_1, \vec{x}_1 \rangle \models \varphi_i$ and $G_2 = T_i^*[G_1, \vec{x}_1]$. Additionally we require that for each internal node labeled with $\langle G, \vec{x} \rangle$ and each guarded deconstruction enabled on $\langle G, \vec{x} \rangle$ there is an outgoing arc labeled by it. Furthermore, each leaf of the deconstruction tree is labeled by the empty graph. With full notational details this looks as follows.

Definition 4.6 (SOL-Deconstruction tree along VALORD). *Given a graph $\bar{G} \in \text{VALORD}$ over τ_m^o and given a set of guarded **SOL**-definable deconstructions schemes $\{(T_i, \varphi_i)\}$, ($i = 1, \dots, l$), we define a **SOL**-deconstruction tree $\Gamma = \Gamma(\bar{G})$ along VALORD as follows:*

- (i) *We have ℓ partial functions $f_i, i \leq \ell$, denoting the ℓ child relations.*
- (ii) *The root of Γ , r , is a node marked by \bar{G} .*
- (iii) *Each internal node n of Γ is marked by a graph \bar{G}_n .*
- (iv) *The child $f_i(n)$ of an internal node n marked with a non-empty graph \bar{G}_n is marked with $T_i^*(\bar{G}_n)$, where $T_i^*(\bar{G}_n)$ is enabled and not empty.*
- (v) *If $T_i^*(\bar{G}_n)$ is not enabled $f_i(n)$ is undefined.*
- (vi) *Each leaf in Γ is marked by the empty graph.*

With this definition we have

Proposition 4.7. *For every set of guarded **SOL**-deconstructions $\mathcal{T} = \{(T_i, \varphi_i) : i \leq \ell\}$ acting on VALORD defined by φ_{ord} , and for every $\bar{G} \in \text{VALORD}$ there is at most one **SOL**-deconstruction tree $\Gamma(\bar{x})$.*

We denote by

$$\text{enabled}(\vec{x}) = \bigvee_{i=1}^l \varphi_i(\vec{x})$$

and call the formula $\text{enabled}(\vec{x})$ the *deconstruction enabling* formula. Note that the labeling of each internal node n in the deconstruction tree must satisfy $\bar{G}_n \models \text{enabled}$.

The graph \bar{G}_n associated with the node n is called *the world view of n* . We denote the subtree of Γ rooted at an internal node n by $\Gamma_n = \Gamma_n(\bar{G}_n)$.

4.2. The linear recurrence relation. The recursive definition of a graph polynomial P tells us how to compute $P(\bar{G})$ from $T_i^*(\bar{G})$. The linear recurrence relation we have in mind takes the form

$$(12) \quad \text{rec} : P(\bar{G}) = \sum_{i: \bar{G} \models \varphi_i} \sigma_i(\bar{G}) \cdot P(T_i^*(\bar{G}))$$

where φ_i is the guard of T_i . We still have to specify what the coefficients $\sigma_i(\bar{G})$ are allowed to be.

Definition 4.8 (Coefficients of the linear recurrence relation). *Let $\{\sigma_i : \text{VALORD} \mapsto \mathcal{R}\}$, ($i = 1, \dots, l$) be a set of mappings such that each σ_i is a map associated with T_i which maps a graph with an m -context into an element of \mathcal{R} . Furthermore we require that $\sigma_i(\bar{G})$ is given by a short **SOL**-polynomial expression.*

4.3. Valuation of a deconstruction tree. Given a deconstruction tree $\Gamma(G)$ we want to assign to $\Gamma(G)$ a value in \mathcal{R} .

Given a graph G , a deconstruction tree $\Gamma(G)$ of G and coefficients $\{\sigma_i\}$, we compute the deconstruction tree valuation by applying the formula below to each internal node n of $\Gamma(G)$:

$$(13) \quad P(\bar{G}_n) = \sum_{\substack{i \in \{1, \dots, l\} \\ \text{s.t. } \bar{G}_n \models \varphi_i}} \sigma_i(\bar{G}_n) \cdot P(T_i^*(\bar{G}_n))$$

If n is a leaf we define $P(G_n, \vec{x}_n) = 1^{\mathcal{R}}$. This computation is well defined for every ordered graph with a context \bar{G} , but the computation may depend on the underlying order of the contexts.

4.4. Well defined recursive definition.

Definition 4.9. A recursive definition of a graph polynomial P is given by a triple $(\mathcal{T}, \text{rec}, \varphi_{\text{ord}})$, where

- (i) $\mathcal{T} = \{(T_i, \varphi_i) : i \leq \ell\}$ is a finite family of guarded **SOL**-destruction schemes acting on VALORD defined by φ_{ord} , and
- (ii)

$$\text{rec} : P(\bar{G}) = \sum_{i: \bar{G} \models \varphi_i} \sigma_i(\bar{G}) \cdot P(T_i^*(\bar{G}))$$

is a linear recurrence relation.

For the recursive definition $(\mathcal{T}, \text{rec}, \varphi_{\text{ord}})$ of a graph polynomial P to be well defined we need several conditions to be satisfied.

Definition 4.10. A triple $(\mathcal{T}, \text{rec}, \varphi_{\text{ord}})$ is **SOL**-feasible for P if the following conditions are satisfied.

- (i) VALORD is **SOL**-definable by a **SOL**-formula φ_{ord} .
- (ii) Every graph $G \in \mathcal{G}_m$ has an expansion $\bar{G} = \langle G, \vec{x}, O \rangle$ with an order O such that $\langle G, \vec{x}, O \rangle \models \varphi_{\text{ord}}$, i.e., such that $\langle G, \vec{x}, O \rangle \in \text{VALORD}$.
- (iii) Every graph $\bar{G} \in \text{VALORD}$ has a **SOL**-deconstruction tree $\Gamma(\bar{G})$.
- (iv) Given two orders O_1 and O_2 on G and the corresponding deconstruction trees $\Gamma(G, O_1), \Gamma(G, O_2)$ we have $P(\Gamma(G, O_1)) = P(\Gamma(G, O_2))$.

Proposition 4.11. Given a **SOL**-feasible triple $(\mathcal{T}, \text{rec}, \text{VALORD})$, there is a unique graph invariant P such that for all ordered graphs $\langle G, O \rangle \in \text{VALORD}$

$$P(G) = P(\Gamma(G, O))$$

Note that we can replace the logic **SOL** in the definitions of this section by other logics used in finite model theory, say Fixed Point Logic **FPL**, Monadic Second Order Logic **MSOL**, etc. Such logics are defined in detail in, say [EF95]. The choice of **SOL** here is a choice of convenience. In Section 8 we shall return to the use of other logics.

4.5. Examples.

In all the examples below, the universe of G is $A^G = V \cup E$, the context is monadic ($m = 1$) and we take VALORD_1 to be defined by $\phi_{\text{ord}} = \forall x, y [(P_E(x) \wedge P_V(y)) \rightarrow x \prec_O y]$, i.e., we require the edges in G to come before the vertices in the order O .

Example 4.12 (Matching polynomial). The bivariate matching polynomial (cf. for example [HL72, LP86, GR01]) is defined by

$$M(G, X, Y) = \sum_{i=0}^n a_i X^{n-2i} Y^i$$

Alternatively, it can be also defined by a linear recurrence relation as follows. The initial conditions are $M(E_1) = X$ and $M(\emptyset) = 1$. Additionally, it satisfies the recurrence

relations

$$(14) \quad \begin{aligned} M(G) &= M(G_{-e}) + Y \cdot M(G_{\dagger e}) \\ M(G_1 \oplus G_2) &= M(G_1) \cdot M(G_2) \end{aligned}$$

Here $M(G_{\dagger e})$ is the graph obtained from G by deleting the edge $e = (u, v)$ together with the vertices u and v and all the edges incident with u and v .

To express this definition within our framework, we take $A^G = V \cup E$ and $R = N \subseteq V \times E$ is the adjacency relation between vertices and edges. We define shorthand formulas to identify an item of the universe to be edge or vertex respectively: $P_E(x) = \exists y(R(y, x))$, $P_V(x) = x \in A \wedge \neg P_E(x)$, and a formula which captures the universe elements which are removed during the extraction of an edge x :

$$\text{Extracted}(x, y) = [y = x \vee R(y, x) \vee \exists u(R(u, x) \wedge R(u, y))].$$

The following table summarizes the formulas for the recursive definition of the matching polynomial.

i	Action type	$\varphi_i(x)$	$T_i[G, x]$ $\phi_i(y)$	$T_i[G, x]$ $\psi_i(y, z)$	$\sigma_i(x)$
1	G_{-v}	$P_V(x) \wedge \neg \exists y(R(x, y))$	$y \neq x$	$R(y, z)$	X
2	G_{-e}	$P_E(x)$	$y \neq x$	$R(y, z) \wedge z \neq x$	1
3	$G_{\dagger e}$	$P_E(x)$	$\neg \text{Extracted}(x, y)$	$R(y, z)$	Y

Note that in this case, $\text{enabled}(G, x)$ does not contain the case of $P_V(x) \wedge \exists y(R(x, y))$, therefore not for every order O there exists a valid fixed order deconstruction tree with order O . However, any order O in which all the edges come before all the vertices, defines a valid fixed order deconstruction tree.

Example 4.13 (Tutte polynomial). The Tutte polynomial is defined (cf. for example [Bol99, BR99]) by the initial conditions $T(E_1) = 1$ and $T(\emptyset) = 1$ and has linear recurrence relation:

$$(15) \quad \begin{aligned} T(G, X, Y) &= \begin{cases} X \cdot T(G_{-e}, X, Y) & \text{if } e \text{ is a bridge,} \\ Y \cdot T(G_{-e}, X, Y) & \text{if } e \text{ is a loop,} \\ T(G_{/e}, X, Y) + T(G_{-e}, X, Y) & \text{otherwise} \end{cases} \\ T(G_1 \oplus G_2, X, Y) &= T(G_1, X, Y) \cdot T(G_2, X, Y) \end{aligned}$$

where a bridge is an edge removing which separates its connected component to two connected components.

As in the case of matching polynomial we define $A^G = V \cup E$, $R = N \subseteq V \times E$, $P_E(x) = \exists y(R(y, x))$ and $P_V(x) = x \in A \wedge \neg P_E(x)$. In addition we define the next shorthand formulas:

For any formula f :

$$\exists^k x(f(x)) = \exists x_1 \cdots \exists x_k \left(\bigwedge_{i \neq j} x_i \neq x_j \wedge \bigwedge_{i=1}^k f(x_i) \wedge \forall y \left(\left(\bigwedge_{i=1}^k y \neq x_i \rightarrow \neg f(y) \right) \right) \right).$$

For any two monadic relations U and W :

$$U \subseteq W \equiv \forall x(U(x) \rightarrow W(x))$$

We define formulas to express an edge being a bridge, a loop, or none of these, respectively:

$$\begin{aligned}
\text{Bridge}(x) &= P_E(x) \wedge \exists y, z [y \neq z \wedge R(y, x) \wedge R(z, x) \wedge \\
&\quad \neg \exists U (U \subseteq P_E \wedge \neg U(x) \wedge \exists u_1, u_2 [\\
&\quad \quad U(u_1) \wedge U(u_2) \wedge R(y, u_1) \wedge R(x, u_2) \wedge \\
&\quad \quad \forall u_3 [(P_V(u_3) \wedge u_3 \neq y \wedge u_3 \neq z) \rightarrow \\
&\quad \quad (\neg \exists e_1 (U(e_1) \wedge R(u_3, e_1)) \vee (\exists^2 e_2 (U(e_2) \wedge R(u_3, e_2))))]]]) \\
\text{Loop}(x) &= P_E(x) \wedge \exists^1 y (R(y, x)) \\
\text{None}(x) &= P_E(x) \wedge \neg \text{Bridge}(x) \wedge \neg \text{Loop}(x)
\end{aligned}$$

In the case of contraction of edge x we remove the edge and the smaller one (by order O) of its end vertices u, v . The remaining end vertex v becomes adjacent to all the edges which entered either of u, v . To describe this we need the next formulas:

$$\begin{aligned}
\text{EdgeEnds}(x, u, v) &= R(u, x) \wedge R(v, x) \wedge u \prec_O v \\
\text{Left}(x, u) &= P_E(x) \wedge \exists v (\text{EdgeEnds}(x, u, v)) \\
\text{Right}(x, v) &= P_E(x) \wedge \exists u (\text{EdgeEnds}(x, u, v))
\end{aligned}$$

The resulting adjacency relation is:

$$\psi_{\text{Contract}}(x, y, z) = \exists u, v [\text{EdgeEnds}(x, u, v) \wedge (R(y, z) \vee (y = v \wedge R(u, z)))]$$

The following table summarizes the formulas for the recursive definition of the Tutte polynomial.

i	Action type	$\varphi_i(x)$	$T_i[G, x]$ $\phi_i(y)$	$T_i[G, x]$ $\psi_i(y, z)$	$\sigma_i(x)$
1	G_{-e}	$\text{Bridge}(x)$	$y \neq x$	$R(y, z)$	X
2	G_{-e}	$\text{Loop}(x)$	$y \neq x$	$R(y, z)$	Y
3	$G_{/e}$	$\text{None}(x)$	$\neg \text{Left}(x, y)$	$\psi_{\text{Contract}}(x, y, z)$	1
4	G_{-e}	$\text{None}(x)$	$y \neq x$	$R(y, z)$	1
5	G_{-v}	$P_V(x) \wedge \neg \exists y (R(x, y))$	$y \neq x$	$R(y, z)$	1

Example 4.14 (Pott's model). The polynomial $Z(G, q, v)$, called the Pott's model, is defined (cf. for example [Sok05]) by the initial conditions $Z(E_1) = q$ and $Z(\emptyset) = 1$, and satisfies the linear recurrence relation

$$\begin{aligned}
Z(G, q, v) &= v \cdot Z(G_{/e}, q, v) + Z(G_{-e}, q, v) \\
(16) \quad Z(G_1 \sqcup G_2, q, v) &= Z(G_1, q, v) \cdot Z(G_2, q, v)
\end{aligned}$$

Again we define $A^G = V \cup E$, $R = N \subseteq V \times E$, $P_E(x) = \exists y (R(y, x))$ and $P_V(x) = x \in A \wedge \neg P_E(x)$. We also borrow the definition of $\psi_{\text{Contract}}(x, y, z)$ from the Tutte polynomial.

The following table summarizes the formulas for the recursive definition for the Pott's model.

i	Action type	$\varphi_i(x)$	$T_i[G, x]$ $\phi_i(y)$	$T_i[G, x]$ $\psi_i(y, z)$	$\sigma_i(x)$
1	G_{-v}	$P_V(x)$	$P_V(x) \wedge \neg \exists y (R(x, y))$	$R(y, z)$	q
2	$G_{/e}$	$P_E(x)$	$\neg \text{Left}(x, y)$	$\psi_{\text{Contract}}(x, y, z)$	v
3	G_{-e}	$P_E(x)$	$y \neq x$	$R(y, z) \wedge z \neq x$	1

5. MAIN RESULT

We now can state and prove our main result.

Theorem 5.1. *Let the triple $(\mathcal{T}, \text{rec}, \varphi_{ord})$ be **SOL**-feasible defining a graph polynomial P . Then there exists a **SOL**-polynomial expression S such that for every $\bar{G} \models \varphi_{ord}$, and for every z , $P(\Gamma(\bar{G})) = e(S, \bar{G}, z)$.*

The following lemma, schematically represented by Figure 2, will be useful for the proof of the theorem:

Lemma 5.2. *Let $\Phi_1 = \langle \phi_1, \psi_1 \rangle$, $\Phi_2 = \langle \phi_2, \psi_2 \rangle$ be translation schemes on graphs. Let $G_1 = \Phi_1(G)$, $G_2 = \Phi_2(G_1)$, where G, G_1, G_2 are graphs over the same vocabulary. Then there exists a translation scheme $\Phi_3 = \Phi_1^\#(\Phi_2) = \langle \Phi_1^\#(\phi_2), \Phi_1^\#(\psi_2) \rangle$ such that $G_2 = \Phi_3(G)$.*

Proof:

By definition of Φ_2 , we have

$$\begin{aligned} A(G_2) &= A^{\Phi_2^*[G_1]} = \{a \in A(G_1) : G_1 \models \phi_2(a)\} \\ R(G_2) &= R^{\Phi_2^*[G_1]} = \{\bar{a} \in A(G_2)^2 : G_1 \models \psi_2(\bar{a})\} \end{aligned}$$

By the fundamental property (Theorem 2.8), because $G_1 = \Phi_1^*[G]$, we have

$$\begin{aligned} \forall a \in A(G_1) (G_1 \models \phi_2(a) &\leftrightarrow G \models [\Phi_1^\#(\phi_2)](a)) \\ \forall \bar{a} \in A(G_1)^2 (G_1 \models \psi_2(\bar{a}) &\leftrightarrow G \models [\Phi_1^\#(\psi_2)](\bar{a})) \end{aligned}$$

This is equivalent to

$$\begin{aligned} \forall a \in A(G) (G_1 \models (\phi_2(a) \wedge a \in A(G_1)) &\leftrightarrow G \models [\Phi_1^\#(\phi_2)](a)) \\ \forall \bar{a} \in A(G)^2 (G_1 \models (\psi_2(\bar{a}) \wedge \bar{a} \in A(G_1)^2) &\leftrightarrow G \models [\Phi_1^\#(\psi_2)](\bar{a})) \end{aligned}$$

because if $A(G_1) \neq A(G)$ then $\Phi_1^\#$ relativizes ϕ_2, ψ_2 to accept only $a \in A(G_1)$. Thus we can take $\Phi_3 = \Phi_1^\#(\Phi_2) = \langle \Phi_1^\#(\phi_2), \Phi_1^\#(\psi_2) \rangle$ Q.E.D.

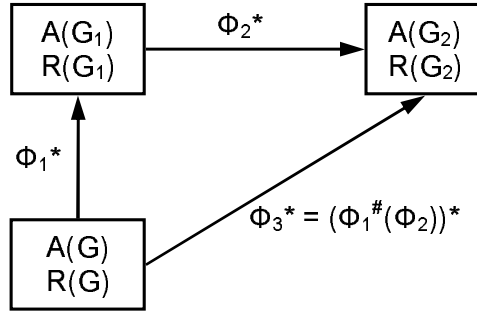


FIGURE 2. Translation scheme composition

Now let us prove Theorem 5.1.

Proof:

The proof is constructive. The formula will simulate the iterative application of the reduction formula on some deconstruction tree $\Upsilon = \Upsilon(\bar{G})$. The recursive definition $(\mathcal{T}, \text{rec}, \varphi_{ord})$ is **SOL**-feasible and therefore is invariant in the deconstruction tree, thus without loss of generality we can take Υ to be some fixed order deconstruction tree with a **SOL**-feasible order O . Note that the actual order of contexts in a branch b is a sub-order O_b of O . A context $\vec{x} \in A^m$ might be omitted from O_b because the deconstructions performed along

b prior to the node marked by \vec{x} might have deleted an element of \vec{x} . This would make it impossible to use \vec{x} as a context of any deconstruction.

The **SOL**-polynomial expression we define, S , is a sum of the valuations of all the branches of Υ . Each branch b is uniquely defined by the sequence of deconstructions (T_i -s) performed along the branch. We define the vector of marks, $\vec{U} = (U_1, \dots, U_l)$, which mark each context \vec{x} according to the deconstruction performed at the node of Υ marked by \vec{x} . Note that not all the contexts are covered by U_i -s. Only the contexts that were not omitted from O_b will be covered, as only at the nodes marked by these contexts a deconstruction was performed. We mark the rest of the contexts by D . Note also that the arity of each U_i , and of D , is m - the cardinality of the contexts.

As follows from Definition 12, the valuation of the branch b is the product of the elementary valuations $\sigma_i(\vec{x})$ applied at each node n marked by the context \vec{x} such that T_i^* is applied at n , i.e., in our notation,

$$\prod_{i=1}^l \prod_{\vec{x}: U_i(\vec{x})} \sigma_i(\vec{x}).$$

The **SOL**-polynomial expression S is now defined as follows:

$$(17) \quad S = \sum_{\vec{U}, D: \Psi(\vec{U}, D, O)} \prod_{i=1}^l \prod_{\vec{x}: U_i(\vec{x})} \sigma_i(\vec{x})$$

Where Ψ is

$$(18) \quad \begin{aligned} \Psi(\vec{U}, D, O) = & \\ & \text{Disjoint}(\vec{U}, D) \wedge \text{Cover}((D \cup \bigcup U_i), A^m) \wedge \\ & \exists B \exists Q [\\ & \quad \forall \vec{x}_0 [\text{first}_O(\vec{x}_0) \rightarrow \\ & \quad \quad \forall u \forall v (B(\vec{x}_0, u) \wedge (R(u, v) \leftrightarrow Q(\vec{x}_0, u, v)))] \wedge \\ & \quad \forall \vec{x}_1 \forall \vec{x}_2 (\vec{x}_2 = \text{next}_O(\vec{x}_1) \rightarrow \\ & \quad \quad \text{ChangeWorldView}(\vec{U}, D, B, Q, \vec{x}_1, \vec{x}_2))] \end{aligned}$$

The predicate $\text{Disjoint}(\vec{U}, D)$ means that the relations U_1, \dots, U_l, D are disjoint, and $\text{Cover}((D \cup \bigcup U_i), A^m)$, meaning that each element of A^m (i.e., each context) is marked either by D or by some U_i . We use $B \subseteq A^{m+1}$ and $Q \subseteq A^{m+2}$ to encode the world view of the nodes of Υ . Below we show that for a node n on the branch b which is marked by the context \vec{x} , B, Q satisfy $A(G_n) = \{v : B(\vec{x}, v)\}$ and $R(G_n) = \{(v, u) : Q(\vec{x}, v, u)\}$. If a context \vec{x} is the first context in $O(x_0)$, then no deconstruction has been performed prior to the node marked by x . Thus the world view of x should be the original graph G . Otherwise, there exists a context x_1 which is an immediate predecessor of x in O . Then the world view of x can be derived from the world view of x_1 , and the connection between these world views is described by the formula $\text{ChangeWorldView}(\vec{U}, D, B, Q, \vec{x}_1, \vec{x})$.

In order to define ChangeWorldView , the following definitions will be used:

For relations B_1, Q_1 such that $\rho(B_1) = 1, \rho(Q_1) = 2$ we define the translation scheme $\Phi_{B_1, Q_1} = \langle B_1, Q_1 \rangle$. For two relations R_1, R_2 of the same arity ℓ we overload the equality symbol to denote $R_1 = R_2 \Leftrightarrow \forall u_1 \dots \forall u_\ell (R_1(u_1, \dots, u_\ell) \leftrightarrow R_2(u_1, \dots, u_\ell))$.

$$\begin{aligned}
(19) \quad \text{ChangeWorldView}(\vec{U}, D, B, Q, \vec{x}_1, \vec{x}_2) = & \\
& \exists B_1 \exists B_2 \exists Q_1 \exists Q_2 [\\
& \quad \forall u \forall v ((B_1(u) \leftrightarrow B(\vec{x}_1, u)) \wedge (B_2(u) \leftrightarrow B(\vec{x}_2, u)) \wedge \\
& \quad (Q_1(u, v) \leftrightarrow Q(\vec{x}_1, u, v)) \wedge (Q_2(u, v) \leftrightarrow Q(\vec{x}_2, u, v))) \wedge \\
& \quad \bigwedge_{i=1}^l (U_i(\vec{x}_1) \rightarrow [\Phi_{B_1, Q_1}^\#[\varphi_i](\vec{x}_1) \wedge \\
& \quad \quad B_2 = A^{\Phi_3^\#[G, \vec{x}_1]} \wedge \\
& \quad \quad Q_2 = R^{\Phi_3^\#[G, \vec{x}_1]}]) \wedge \\
& \quad (D(\vec{x}_1) \rightarrow [(\exists j \neg B_1(\vec{x}_1[j])) \wedge B_1 = B_2 \wedge Q_1 = Q_2]) \quad]
\end{aligned}$$

where $\rho(B_1) = \rho(B_2) = 1$, $\rho(Q_1) = \rho(Q_2) = 2$ and $\Phi_3 = \Phi_{B_1, Q_1}^\#[T_i]$.

In accordance with the role of B and Q , the first part of the formula defines the relations B_i, Q_i to comprise the world view of the context x_i .

The second part of the formula treats the case when the context \vec{x}_1 is marked by some U_i , i.e., the case when the deconstruction T_i^* was applied at the node n_1 marked by \vec{x}_1 . To make the application of T_i^* at G_{n_1} possible, $G_{n_1} \models \varphi_i(\vec{x}_1)$ should hold. We need to find a formula $\tilde{\varphi}_i$ such that $G \models \tilde{\varphi}_i(\vec{x}_1)$ iff $G_{n_1} \models \varphi_i(\vec{x}_1)$. B_1, Q_1 comprise the world view of x_1, G_{n_1} . Thus by definition of $\Phi_{B_1, Q_1} = \langle B_1, Q_1 \rangle$, we have that Φ_{B_1, Q_1} is a translation scheme translating G to G_{n_1} . Then, by Theorem 2.8, $G_{n_1} \models \varphi_i(\vec{x}_1)$ iff $G \models \Phi_{B_1, Q_1}^\#[\varphi_i](\vec{x}_1)$, taking $\tilde{\varphi}_i = \Phi_{B_1, Q_1}^\#[\varphi_i]$.

The world view of x_2, G_{n_2} , is the result of application of T_i^* to G_{n_1} , and is comprised of B_2, Q_2 . Using Lemma 5.2 applied to $\Phi_1 = \Phi_{B_1, Q_1}$ and $\Phi_2 = T_i$, we obtain that $\Phi_{B_2, Q_2} = \Phi_{B_1, Q_1}^\#[T_i]$.

The last part of the formula treats the case when the context \vec{x}_1 (or part of it) is already deleted by deconstructions applied to contexts which precede it in O . Therefore it should be marked by D . No deconstruction is applied to \vec{x}_1 , thus the world view of \vec{x}_1 and its successor, \vec{x}_2 , are the same. Q.E.D.

Note that if the coefficients $\sigma_i(\vec{G})$ of the recurrence relation are given by short **SOL**-polynomial expression then the expression S defines a **SOL**-polynomial.

6. DERIVATIONS OF SUBSET EXPANSION FORMULAS

In this section we shall show how the proof of Theorem 5.1 can be applied to obtain a subset expansion formula for the universal edge elimination polynomial [AGM08], and the cover polynomial [CG95].

6.1. The universal edge elimination polynomial.

The universal edge elimination polynomial $\xi(G, X, Y, Z)$ is a generalization of both the Matching and the Pott's model, and is recursively defined in [AGM08].

The initial conditions are $\xi(E_1, X, Y, Z) = X$ and $\xi(\emptyset, X, Y, Z) = 1$.

The recurrence relation is

$$\begin{aligned}
(20) \quad \xi(G, X, Y, Z) &= \xi(G_{-e}, X, Y, Z) + y \cdot \xi(G_{/e}, X, Y, Z) + z \cdot \xi(G_{\uparrow e}, X, Y, Z) \\
\xi(G_1 \oplus G_2, X, Y, Z) &= \xi(G_1, X, Y, Z) \cdot \xi(G_2, X, Y, Z).
\end{aligned}$$

To express this definition within our framework, we define A^G , R , $P_E(x)$, $P_V(x)$, $\psi_{Contract}(x, y, z)$ and $Extracted(x, y)$ similarly as in Example 3.10.

TABLE 1. Formulas for the recursive definition of $\xi(G, X, Y, Z)$

i	Action type	$\varphi_i(x)$	$T_i[G, x]$ $\phi_i(y)$	$\bar{T}_i[G, x]$ $\psi_i(y, z)$	$\sigma_i(x)$
1	G_{-v}	$P_V(x)$	$P_V(x) \wedge \neg \exists y(R(x, y))$	$R(y, z)$	X
2	G_{-e}	$P_E(x)$	$y \neq x$	$R(y, z) \wedge z \neq x$	1
3	$G_{/e}$	$P_E(x)$	$\neg R(y, x)$	$\psi_{Contract}(x, y, z)$	Y
4	$G_{\dagger e}$	$P_E(x)$	$\neg Extracted(x, y)$	$R(y, z)$	Z

Substituting the formulas of Table 1 in the Equations (17,18,19) we get a **SOL**-polynomial expression. This expression is a sum over the colorings U_1, \dots, U_4 of A^G of addends evaluated $\prod_{i=1}^4 \prod_{x: U_i(x)} \sigma_i(x) = X^{|U_1|} \cdot Y^{|U_3|} \cdot Z^{|U_4|}$.

Let C be the set of the connected components of the graph $G_C = (V(G), U_3 \cup U_4)$. In Formula (19), for each context x_1 satisfying $U_3(x_1)$ and $x_2 = \text{next}_O(x_1)$ the contraction action on edge x_1 leaves one of its end verices. In other words, if $u, v \in V(G)$ and $\{(u, x_1), (v, x_1)\} \in R$ and $u \prec_O v$ then we have $B(x_1, u) \wedge B(x_1, v)$ but $\neg B(x_2, u) \wedge B(x_2, v)$. Thus, action number 3 ($G_{/e}$) can not remove a whole connected component in C from $\{y : B(x_2, y)\}$.

Therefore, for each component $c \in C$, actions 1 (G_{-v}) or 4 ($G_{\dagger e}$) must be used on the last vertex or edge in c to eliminate whole of c form $\{y : B(x, y)\}$ for some x such that $U_1(x)$ or $U_4(x)$, respectively.

We divide the components in C into two sets:

$$\begin{aligned} C_A &= \{c \in C : \exists x \in c(U_1(x))\} \\ C_B &= \{c \in C : \exists x \in c(U_4(x))\} \end{aligned}$$

and define the next edge sets:

$$\begin{aligned} A &= \{x \in E(G) : \exists c(x \in c \in C_A)\} \\ B &= \{x \in E(G) : \exists c(x \in c \in C_B)\} \end{aligned}$$

Recallin the definition of $Touching(D, S)$ and $LastInComp(D, S)$ from Section 3.3 we get:

$$\begin{aligned} U_1 &= LastInComp(V, A \cup B) \setminus Touching(V, B) \\ U_3 &= A \cup B \setminus LastInComp(B, B) \\ U_4 &= LastInComp(B, B) \end{aligned}$$

If we rewrite Equation (17) using these terms, we get the next simple **SOL**-polynomial expression:

$$\begin{aligned} \xi(G, X, Y, Z) &= \sum_{A, B: A, B \subseteq E \wedge VertexDisjoint(A, B)} \left[\prod_{v: v \in (LastInComp(V, A \cup B) \setminus Touching(V, B))} X \right] \cdot \\ (21) \quad &\left[\prod_{e: e \in (A \cup B \setminus LastInComp(B, B))} Y \right] \cdot \left[\prod_{e: e \in LastInComp(B, B)} Z \right]. \end{aligned}$$

where $VertexDisjoint(A, B) = \neg \exists v \exists a \in A \exists b \in B (N(v, a) \wedge N(v, b))$.

From this one can get

$$(22) \quad \xi(G, X, Y, Z) = \sum_{(A \sqcup B) \subseteq E} X^{k(A \sqcup B) - k_{cov}(B)} \cdot Y^{|A| + |B| - k_{cov}(B)} \cdot Z^{k_{cov}(B)}$$

where by abuse of notation we use $(A \sqcup B) \subseteq E$ for summation over subsets $A, B \subseteq E$, such that the subsets of vertices $V(A)$ and $V(B)$, covered by respective subset of edges, are disjoint: $V(A) \cap V(B) = \emptyset$; $k(A)$ denotes the number of spanning connected components

in (V, A) , and $k_{cov}(B)$ denotes the number of covered connected components, i.e. the connected components of $(V(B), B)$.

Note that $k(A \sqcup B) - k_{cov}(B) = |LastInComp(V, A \cup B) \setminus Touching(V, B)|$, $|A| + |B| - k_{cov}(B) = |A \cup B \setminus LastInComp(B, B)|$ and $k_{cov}(B) = |LastInComp(B, B)|$.

Now, Equation 22 is the subset expansion formula for $\xi(G, X, Y, Z)$ presented in [AGM08].

6.2. The cover polynomial.

The standard definition of the Cover polynomial for a directed graph D is (see [CG95]):

$$\begin{aligned} C(\emptyset) &= 1, \\ C(E_n) &= X^n = X(X-1) \cdots (X-n+1), \\ C(D) &= \begin{cases} C(D_{-e}) + C(D_{/e}) & \text{if } e \text{ is a loop,} \\ C(D_{-e}) + Y \cdot C(D_{/e}) & \text{if } e \text{ is a not a loop} \end{cases} \end{aligned}$$

where a contraction of a directed edge e is defined in the following manner:

- If the edge is a loop then it and its adjacent vertex is deleted.
- Otherwise we remove this edge, replace both its adjacent vertices by a single vertex and keep all their adjacent edges which agree with the direction of e . I.e., if $e = \langle u, v \rangle$ we remove them both, replace them by a new vertex w and connect all edges $\langle x, w \rangle$ such that $\langle x, u \rangle \in E(D)$ and all edges $\langle w, y \rangle$ such that $\langle v, y \rangle \in E(D)$.

This polynomial is for directed graphs, we express the graph within an extended vocabulary $\tau_{\text{direct-graph}(2)} = \langle A, N^O, N^I \rangle$ where the interpretation is: $A = V \cup E$ is the universe of the graph, $N^O \subseteq V \times E$ is the adjacency relation for the outbound edges, and $N^I \subseteq E \times V$ is the one for inbound edges. The relevant shorthand formulas to identify an element of the universe to be an edge or a vertex respectively, are: $P_E(x) = \exists y, z [N^O(y, x) \wedge N^I(x, z)]$, $P_V(x) = x \in A \wedge \neg P_E(x)$.

Other shorthand formulas we use:

$$\begin{aligned} DEdgeEnds(x, u, v) &= N^O(u, x) \wedge N^I(x, v) \\ DLoop(x) &= P_E(x) \wedge \exists y [N^O(y, x) \wedge N^I(x, y)] \\ \psi_{Contract}^O(x, y, z) &= N^O(y, z) \\ \psi_{Contract}^I(x, y, z) &= \exists u, v [DEdgeEnds(x, u, v) \wedge (N^I(y, z) \vee (z = v \wedge N^I(y, u)))] \\ DExtracted(x, y) &= \exists u, v [DEdgeEnds(x, u, v) \wedge y \neq u \wedge \neg N^O(u, y) \wedge \neg N^I(y, v)] \\ DLoopExtracted(x, y) &= \neg \exists u [N^O(u, x) \wedge (y = u \vee N^I(y, u) \vee N^O(u, y))] \end{aligned}$$

Note that $\sigma_4(x)$ is a **SOL**-definable polynomial so our main result validity is supported by the last **SOL**-definable polynomial property in Proposition 3.11.

Substituting the formulas of Table 2 in the Equations (17,18,19) we get a **SOL**($\tau_{\text{direct-graph}(2)}$)-polynomial expression. Note that in this case Formula (19) should be extended to represent both the realtions N^I and N^O . This is performed trivially by introducing Q^I and Q^O ternary relations into Formulas (18) and (19), instead the single Q relation.

This **SOL**($\tau_{\text{direct-graph}(2)}$)-polynomial expression is a sum over the colorings U_1, \dots, U_4 of A^G of addends evaluated $\prod_{i=1}^4 \prod_{x: U_i(x)} \sigma_i(x)$.

We use similar arguments as in previous section (6.1). Let C be the connected components of $G_C = (V(G), U_2 \cup U_3)$. To eliminate a component $c \in C$ from $\{y, B(x, y)\}$ for some context y actions 3 ($G_{/e}$) or 4 (G_{-v}) must be used on the last edge or vertex of c .

We divide the components in C into two sets:

$$\begin{aligned} C_P &= \{c \in C : \exists x \in c(U_4(x))\} \\ C_C &= \{c \in C : \exists x \in c(U_3(x))\} \end{aligned}$$

TABLE 2. Formulas for the recursive definition of the Cover polynomial

i	Action type	$\varphi_i(x)$	$\sigma_i(x)$
1	D_{-e}	$P_E(x)$	1
2	$G_{/e}$	$P_E(x) \wedge \neg DLoop(x)$	1
3	$G_{/e}$	$DLLoop(x)$	Y
4	G_{-v}	$\neg \exists y(P_E(y))$	$X + (-1)^{\mathcal{R}} \sum_{y: \phi_4(y)} 1^{\mathcal{R}}$

i	Action type	$T_i[G, x]$ $\phi_i(y)$	$T_i[G, x]$ $\psi_i^O(y, z)$	$T_i[G, x]$ $\psi_i^I(y, z)$
1	D_{-e}	$y \neq x$	$N^O(y, z) \wedge z \neq x$	$N^I(y, z) \wedge y \neq x$
2	$G_{/e}$	$DExtracted(x, y)$	$\psi_{Contract}^O(x, y, z)$	$\psi_{Contract}^I(x, y, z)$
3	$G_{/e}$	$DLLoopExtracted(x, y)$	$N^O(y, z)$	$N^I(y, z)$
4	G_{-v}	$y \neq x$	\emptyset	\emptyset

Note that if for edge x_1 , such that $U_2(x_1) \vee U_3(x_1)$, we have $N^O(u, x_1) \wedge N^I(x_1, v)$, then for $x_2 = \text{next}_O(x_1)$ $\{y : B(x_2, y)\}$ does not contain any edges into v or edges out of u . Therefore, each vertex in $G_C = (V(G), U_2 \cup U_3)$ is adjacent to at most one incoming and one outgoing edge. Thus, each $c \in C$ are either a path or a cycle (a single vertex without a loop is a path or it is a cycle if it has a loop).

Let $OnCycle(v, B) = \exists U[U \subseteq B \wedge \exists e(U(e) \wedge N^O(v, e)) \wedge Cycle(B)]$. If we set $B = \{x : U_2(x) \wedge U_3(x)\}$ then:

$$(23) \quad \begin{aligned} U_3 &= \{e \in E : \exists c \in C_C(\{e\} = LastInComp(E, c))\} \\ U_4 &= \{v \in V : \exists c \in C_P(\{v\} = LastInComp(V, c))\} \end{aligned}$$

$$(24) \quad = \{v \in LastInComp(V, B) \wedge OnCycle(v, B)\}$$

Note that by Equation 23 we have also $U_3 = |\{v \in LastInComp(V, B) \wedge OnCycle(v, B)\}|$.

Note that in this case we need to take the definitions of $LastInComp(V, A)$, $Cycle(B)$ and their subformulas with the relation N replaced by N^I or N^O in accordance to the context.

Because the context ordering VALORD_m permits only orders O such that the vertices come after edges, for any choice of valid coloring \vec{U} there exists a vertex y such that its world view graph $\langle B(y, \dots), Q^I(y, \dots), Q^O(y, \dots) \rangle = E_k$ for some k and therefore for all $x \succ_O y$ we have $U_4(x)$ or $D(x)$. For such vertices x with $U_4(x)$, $\sigma_4(x) = X - k + 1$ and in Formula 17 we get $\prod_{x: U_i(x)} \sigma_i(x) = X^{|U_4|}$. Thus, $\prod_{i=1}^4 \prod_{x: U_i(x)} \sigma_i(x) = X^{|U_4|} \cdot Y^{|U_3|}$.

We denote $CyclePathCover(B)$ to be valid iff for every vertex v no two edges of B emanate or enter v :

$$\begin{aligned} CyclePathCover(B) &= \forall v [P_V(v) \rightarrow \neg \exists e_1, e_2 (e_1 \neq e_2 \wedge \\ &\quad [(N^O(v, e_1) \wedge N^O(v, e_2)) \vee (N^I(e_1, v) \wedge N^I(e_2, v))])] \end{aligned}$$

If we rewrite Equation (17) using these terms, we get the next simple **SOL**($\tau_{\text{direct-graph}(2)}$)-polynomial expression:

$$(25) \quad C(D, X, Y) = \sum_{B, L: B \subseteq E \wedge L = LastInComp(V, B)} [(X)_{\{v: v \in L \wedge \neg OnCycle(v, B)\}}] \cdot \left[\prod_{v: v \in L \wedge OnCycle(v, B)} Y \right].$$

where $(X)_{\{v: v \in L \wedge \neg OnCycle(v, B)\}}$ is a falling factorial which by the properties listed in Section 3.5 is expressible by a **SOL**-polynomial expression over \mathcal{R} which contains \mathbb{Z} . Though Formula (25) is not a **SOL**-polynomial expression in a normal form, by Proposition 3.11, item (v), it is still a **SOL**-polynomial expression.

Formula (25) is equivalent to the one presented in [CG95]:

$$(26) \quad C(D, X, Y) = \sum_{i,j} c_D(i, j) X^i Y^j$$

where $c_D(i, j)$ is the number of ways of covering all the vertices of D with i directed paths and j directed cycles (all disjoint of each other), $X^i = X(X-1)\cdots(X-i+1)$ and $X^0 = 1$. $c_D(i, j)$ is taken to be 0 when it is not defined, e.g., when $i < 0$ or $j < 0$.

7. A GRAPH POLYNOMIAL WITH NO RECURRENCE RELATION

In [NW99] a graph polynomial $U(G, \bar{X}, Y)$ is introduced which generalises the Tutte polynomial, the matching polynomial, and the stability polynomial. $U(G, \bar{X}, Y)$ is defined for a graph $G = (V, E)$ as

$$(27) \quad U(G, \bar{X}, Y) = \sum_{A \subseteq E} y^{|A| - r(A)} \prod_{i=1}^{|V|} X_i^{s(i, A)}$$

where $s(i, A)$ denotes the number of connected components of size i in the spanning subgraph (V, A) , and $r(A) = |V| - k(A)$ is the rank of (V, A) .

It is obtained from a graph polynomial $W_{G,w}(\bar{X}, Y)$ for weighted graphs $\langle G, w \rangle$ by setting all the weights equal 1. For the weighted version there is a recurrence relation reminiscent of the one for the Tutte polynomial, but the edge contraction operation for an edge $e = (v_1, v_2)$, which results in a new vertex u , gives u the weight $w(u) = w(v_1) + w(v_2)$. For $W_{G,w}(\bar{X}, Y)$ a subset expansion formula is proven, which is equivalent to Equation (27), when all the weights are set to 1. Equation (27) is used in [NW99] as the definition of the polynomial $U(G, \bar{X}, Y)$ for graphs without weights. It is noted that the recursive definition given for $W_{G,w}(\bar{X}, Y)$ does not work, as the edge contraction operation for weighted graphs, when applied to the case where all weights equal 1, gives a graph with weight for the new vertex resulting from the contraction.

We now show, that the polynomial $U(G, \bar{X}, Y)$ is not an **SOL**-polynomial, and therefore has no feasible recurrence relation in our sense. To see this we note a simple property of **SOL**-polynomials.

Definition 7.1. Let $\bar{X} = (X_1, \dots, X_n)$ be a set of variables, and

$$P(G, \bar{X}) = \sum \bar{A} X_1^{f_1(G, \bar{A})} \cdots X_n^{f_n(G, \bar{A})}$$

be a subset expansion of a graph polynomial P . We say that P is invariant under variable renaming if for all graphs G and for all permutation $\sigma : \mathbb{N} \rightarrow \mathbb{N}$ we have

$$P(G, X_{\sigma(1)}, \dots, X_{\sigma(n)}) = \sum \bar{A} \prod_{i \leq n} X_{\sigma(i)}^{f_{\sigma(i)}(G, \bar{A})}$$

The following is easy to see:

Proposition 7.2. Assume for

$$P(G, \bar{X}) = \sum \bar{A} X_1^{f_1(G, \bar{A})} \cdots X_n^{f_n(G, \bar{A})}$$

that for all $i \leq n$ the exponent $f_i(G, \bar{A})$ of X_i is not dependent on i . Then $P(G, \bar{X})$ is invariant under variable renaming. In particular, **SOL**-polynomials are invariant under variable renaming.

Proposition 7.3. $U(G, \bar{X}, Y)$ is not invariant under variable renaming.

Proof. Let E_n be the graph consisting of n isolated vertices. Then $s(i, A) = |A|$ if $i = 1$ and $s(i, A) = 0$ if $i \geq 2$. We have

$$U(E_n, X_1, \dots, X_n, y) = \sum_{A \subseteq E} y^{|A| - r(A)} \cdot X_1^{|A|}$$

If we now set $\sigma(n) = n + 1$ we get

$$U(E_n, X_2, \dots, X_{n+1}, Y) = \sum_{A \subseteq E} y^{|A| - r(A)}$$

□

Corollary 7.4.

- (i) $U(G, \bar{X}, Y)$ is not a **SOL**-definable polynomial.
- (ii) There is no feasible recursive definition of $U(G, \bar{X}, Y)$.

8. CONCLUSION AND OPEN PROBLEMS

We have shown with Theorem 5.1 how to convert certain recursive definition of graph polynomials, the **SOL**-feasible recursive definitions, into **SOL**-definable subset expansion formulas, herewith generalizing many special cases from the literature, in particular the classical results for the Tutte polynomial, the interlace polynomial, and the matching polynomial. We have also explained how Theorem 5.1 was used in [AGM08] to find a subset expansion formula for the universal edge elimination polynomial $\xi(G, X, Y, Z)$.

Our framework does not cover all the graph polynomials which appear in the literature. We have not discussed graph polynomials where indeterminates are indexed by elements of the graph. This occurs for example in [Sok05]. Our framework can be easily adapted to this situation. In this case renaming of the variables has to include also a renaming of the elements of the universe.

The weighted graph polynomial from [NW99], however, is not invariant under variable renaming because the integer index of the variables carries a graph theoretic meaning. It is this feature which allows us to show that $U(G, \bar{X}, Y)$ is not **SOL**-definable.

We have not discussed the possibility of a converse of Theorem 5.1.

Problem 1. Find a graph polynomial P which is defined by a **SOL**-definable subset expansion formula and which is invariant under variable renaming, but which has no **SOL**-feasible (linear) recurrence relation.

In our framework of **SOL**-feasible recursive definitions the recurrence relation is required to be *linear*. We chose this restriction because we did not want to generalize beyond the natural examples.

Problem 2. Are there combinatorially interesting graph polynomials defined recursively by non-linear recurrence relations?

Problem 3. Is there an analogue to Theorem 5.1 for non-linear recurrence relations?

The choice of Second Order Logic **SOL** as the base logic for this approach is merely pragmatical. It can be replaced by Fixed Point Logic **FPL** and extensions of **SOL**. It seems not to work for Monadic Second Order Logic **MSOL**. In our proof of Theorem 5.1 we have to quantify over relations which are at least ternary, even if the recursive definition is **MSOL**-feasible.

Problem 4. Find a sufficient condition which ensures that an **MSOL**-feasible recursive definition can be converted into an **MSOL**-definable subset expansion formula.

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